

**WAVEFIELD RECIPROcity -  
FUNDAMENTALS AND  
APPLICATIONS**

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We shall discuss **RECIPROCITY** for the following **WAVE PHENOMENA**:

- Acoustic waves in fluids  
(i.e., gases and liquids)
- Elastic waves in solids (condensed matter)
- Electromagnetic waves  
(in vacuum and all matter)

**RECIPROCITY** finds its origins in the following papers:

- 1828 **G. Green**: Static electric and magnetic fields
- 1894 **Lord Rayleigh**: Acoustic waves in fluids
- 1871-1873 **A. Betti** and  
1873 **Lord Rayleigh**: Elastic waves in solids
- 1896 **H. A. Lorentz**: Electromagnetic waves

A **wavefield** is physically characterized by

- the **sources** that generate it  
(**excitation**)
- the **medium** in which it is present  
(**propagation**)
- the **wavefield state quantities** through which it is accessible to measurement  
(**reception**)

### *Wavefield (characterization)*

## Acoustic waves in fluids:

- **Sources:** the human voice, musical instruments, loudspeakers, ultrasonic transmitting transducers, airgun in marine seismics
- **Media:** air, water
- **Receivers:** the human ear, microphones, ultrasonic receiving transducers

## Elastic waves in solids:

- **Sources:** earthquake, mechanical vibrator (VIBROSEIS<sup>TM</sup>), ultrasonic transmitting transducer
- **Media:** the Earth, mechanical structures
- **Receivers:** geophone, seismometer, ultrasonic receiving transducer

## Electromagnetic waves:

- **Sources:** transmitting antenna for radio and television broadcast, radar, satellite communication, lightning discharge, ElectroStatic Discharge
- **Media:** vacuum & all matter
- **Receivers:** receiving antenna for radio and television broadcast, radar, satellite communication, wire loops for detection in vehicle traffic control, any loop in a digital integrated circuit

- **Acoustic source quantities:**

$q$  = volume source density of injection rate

$f_k$  = volume source density of force

- **Acoustic medium properties:**

$\rho_{k,r}$  = volume density of (inertia) mass

$\kappa$  = compressibility

- **Acoustic wavefield quantities:**

$p$  = acoustic pressure

$v_r$  = particle velocity

$\Phi_k$  = mass flow density

$\theta$  = cubic dilatation



- **Elastic source quantities:**

$f_k$  = volume source density of force

$h_{i,j}$  = volume source density of deformation rate

- **Elastic medium properties:**

$\rho_{k,r}$  = volume density of (inertial) mass

$S_{i,j,p,q}$  = compliance

- **Elastic wavefield quantities:**

$\tau_{p,q}$  = dynamic stress

$v_r$  = particle velocity

$\Phi_k$  = mass flow density

$e_{i,j}$  = deformation

- **Electromagnetic source quantities:**

$J_k$  = volume source density of electric current

$K_j$  = volume source density of magnetic current

- **Electromagnetic medium properties:**

$\epsilon_{k,r}$  = (electrical) permittivity

$\mu_{i,j}$  = (magnetic) permeability

- **Electromagnetic wavefield quantities:**

$E_r$  = electric field strength

$K_p$  = magnetic field strength

$D_k$  = electric flux density

$B_j$  = magnetic flux density

**Wave phenomena** are phenomena in

- **(three-dimensional) space** ( $x \in \mathcal{R}^3$ )

and

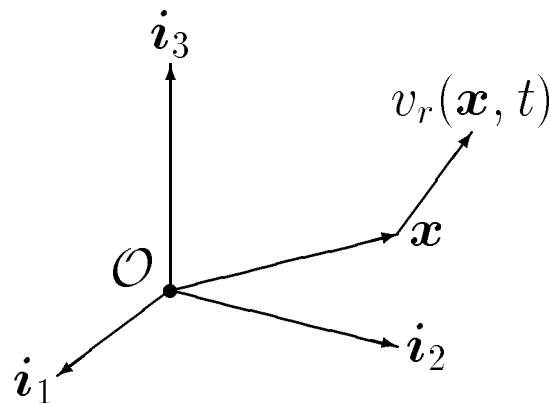
- **time** ( $t \in \mathcal{R}$ )

They

- are **causally related to the action of their sources**
- **transfer energy from source to receiver**
- **SHARE** the property of **RECIPROCITY**

*Wave phenomena (some general characteristics)*

**Reference frame in  $\mathcal{R}^3$ :**



**Position vector:**

- $\mathbf{x} = x_1 \mathbf{i}_1 + x_2 \mathbf{i}_2 + x_3 \mathbf{i}_3$

**Subscript notation, summation convention:**

- $\rho_{k,r} v_r = \sum_{r=1}^3 \rho_{k,r} v_r$  for  $k = 1, 2, 3$
- $\partial_m =$  derivative with respect to  $x_m$

**Wave phenomena** are **mathematically** characterized by a

- **hyperbolic system of first-order partial differential equations**

that

- **couple the relative changes of the wavefield in space ( $x \in \mathcal{R}^3$ ) to the relative changes of the wavefield in time ( $t \in \mathcal{R}$ )**

in such a manner that

- **RECIPROCITY holds**

### *Wave phenomena (mathematical characterization)*

- **Acoustic wave quantities:**

$p$  = acoustic pressure (Pa)

$v_r$  = particle velocity (m/s)

$\Phi_k$  = mass flow density (kg/m<sup>2</sup>·s)

$\theta$  = cubic dilatation

- **Acoustic source quantities:**

$f_k$  = volume source density of force (N/m<sup>3</sup>)

$q$  = volume source density of injection rate (s<sup>-1</sup>)

- **Acoustic medium parameters for an inhomogeneous, anisotropic medium with relaxation:**

$\mu_{k,r}$  = inertia relaxation function ( $\text{kg}/\text{m}^3 \cdot \text{s}$ )

$\chi$  = compliance relaxation function ( $\text{s}^{-1}$ )

- **Acoustic constitutive relations:**

$$\Phi_k = \mu_{k,r} \overset{(t)}{*} v_r$$

$$\theta = -\chi \overset{(t)}{*} p$$

where

$$\overset{(t)}{*} = \text{time convolution}$$

- **Acoustic constitutive relations for an inhomogeneous, anisotropic fluid with simple loss mechanisms:**

$$\partial_t \Phi_k = K_{k,r} v_r + \rho_{k,r} \partial_t v_r$$

$$-\partial_t \theta = \Gamma p + \kappa \partial_t p$$

where

$K_{k,r}$  = coefficient of frictional force ( $\text{kg}/\text{m}^3 \cdot \text{s}$ )

$\rho_{k,r}$  = volume density of (inertial) mass ( $\text{kg}/\text{m}^3$ )

$\Gamma$  = coefficient of bulk inviscidness ( $\text{Pa}^{-1} \cdot \text{s}^{-1}$ )

$\kappa$  = compressibility ( $\text{Pa}^{-1}$ )



- **Acoustic wavefield equations:**

$$\partial_k p + \partial_t \Phi_k = f_k$$

$$\partial_r v_r - \partial_t \theta = q$$

- **Acoustic compatibility relation:**

$$\epsilon_{p,m,k} \int_S \nu_m \partial_t \Phi_k dA = \epsilon_{p,m,k} \int_S \nu_m f_k dA$$

for any closed surface  $\mathcal{S}$  ( $\nu_n =$  unit vector along outward normal to  $\mathcal{S}$ )

- **Levi-Civita tensor:**

$$\epsilon_{p,m,k} = \{+1, -1, 0\} \quad \text{if } \{p, m, k\} \text{ is} \\ \{\text{even, odd, no}\} \text{ permutation of } \{1, 2, 3\}$$

- **Interface boundary conditions:**

across any surface of discontinuity in medium properties

$$p = \text{continuous}$$

$$\nu_r \nu_r = \text{continuous}$$

$\nu_m$  = unit vector along normal to interface

- **Compatibility boundary conditions:**

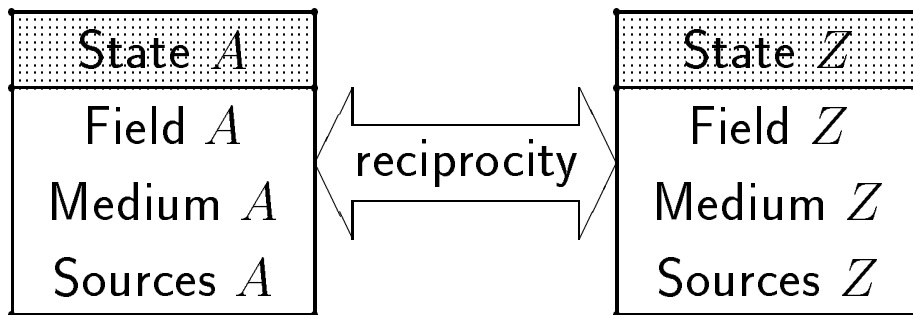
across any surface of discontinuity in medium properties and/or volume source distributions

$$\epsilon_{p,m,k} \nu_m (\partial_t \Phi_k - f_k) = \text{continuous}$$

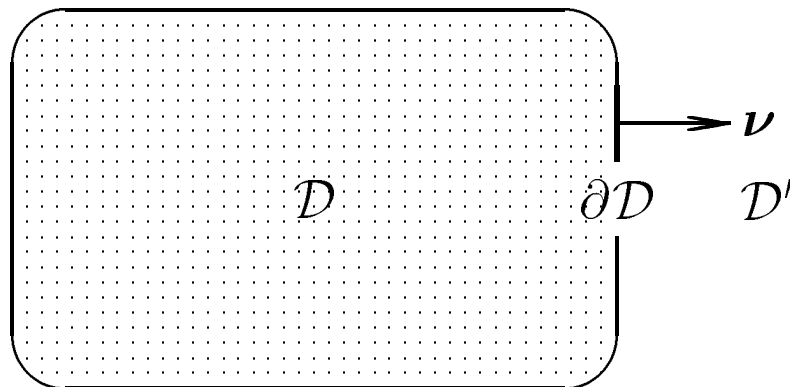
$\nu_m$  = unit vector along normal to surface of discontinuity

### *Acoustic boundary conditions*

- The two States  $A$  and  $Z$ :



- Domain of application:



- **Acoustic reciprocity theorem of the time-convolution type:**

$$\begin{aligned}
 & \int_{\partial\mathcal{D}} \nu_r [p^A \stackrel{(t)}{*} v_r^Z - p^Z \stackrel{(t)}{*} v_r^A] dA \\
 &= \int_{\mathcal{D}} [-\partial_t \Phi_k^A \stackrel{(t)}{*} v_k^Z + \partial_t \Phi_r^Z \stackrel{(t)}{*} v_r^A \\
 &\quad - \partial_t \theta^A \stackrel{(t)}{*} p^Z + \partial_t \theta^Z \stackrel{(t)}{*} p^A] dV \\
 &\quad + \int_{\mathcal{D}} [f_k^A \stackrel{(t)}{*} v_k^Z - q^A \stackrel{(t)}{*} p^Z \\
 &\quad - f_r^Z \stackrel{(t)}{*} v_r^A + q^Z \stackrel{(t)}{*} p^A] dV
 \end{aligned}$$

- **The reciprocity relation is a “weak” formulation of the field problem: for the theorem to hold for arbitrary States  $Z$ , State  $A$  must satisfy the field equations**

### *Reciprocity theorem of the time-convolution type*

- **Elastic wave quantities:**

$\tau_{p,q}$  = dynamic stress (Pa)

$v_r$  = particle velocity (m/s)

$\Phi_k$  = mass flow density (kg/m<sup>2</sup>·s)

$e_{i,j}$  = deformation

- **Elastic wave source quantities:**

$f_k$  = volume source density of force (N/m<sup>3</sup>)

$h_{i,j}$  = volume source density of deformation  
rate (s<sup>-1</sup>)

- **Elastic wave medium parameters for an inhomogeneous, anisotropic medium with relaxation:**

$\mu_{k,r}$  = inertia relaxation function ( $\text{kg}/\text{m}^3 \cdot \text{s}$ )

$\chi_{i,j,p,q}$  = compliance relaxation function ( $\text{s}^{-1}$ )

- **Elastic wave constitutive relations:**

$$\Phi_k = \mu_{k,r} \overset{(t)}{*} v_r$$

$$e_{i,j} = -\chi_{i,j,p,q} \overset{(t)}{*} \tau_{p,q}$$

where

$$\overset{(t)}{*} = \text{time convolution}$$

- **Elastic wave constitutive relations for an inhomogeneous, anisotropic fluid with simple loss mechanisms:**

$$\begin{aligned}\partial_t \Phi_k &= K_{k,r} v_r + \rho_{k,r} \partial_t v_r \\ -\partial_t e_{i,j} &= \Gamma_{i,j,p,q} \tau_{p,q} + S_{i,j,p,q} \partial_t \tau_{p,q}\end{aligned}$$

where

$K_{k,r}$  = coefficient of frictional force ( $\text{kg}/\text{m}^3 \cdot \text{s}$ )

$\rho_{k,r}$  = volume density of (inertial) mass ( $\text{kg}/\text{m}^3$ )

$\Gamma_{i,j,p,q}$  = coefficient of bulk inviscidness ( $\text{Pa}^{-1} \cdot \text{s}^{-1}$ )

$S_{i,j,p,q}$  = compliance ( $\text{Pa}^{-1}$ )

### *Elastic wave medium properties (continued)*

- **Elastic wavefield equations:**

$$-\Delta_{k,m,p,q}^+ \partial_m \tau_{p,q} + \partial_t \Phi_k = f_k$$
$$\Delta_{i,j,n,r}^+ \partial_n v_r - \partial_t e_{i,j} = h_{i,j}$$

- **Elastic wave compatibility relation:**



- **Interface boundary conditions:**

across any surface of discontinuity in medium properties

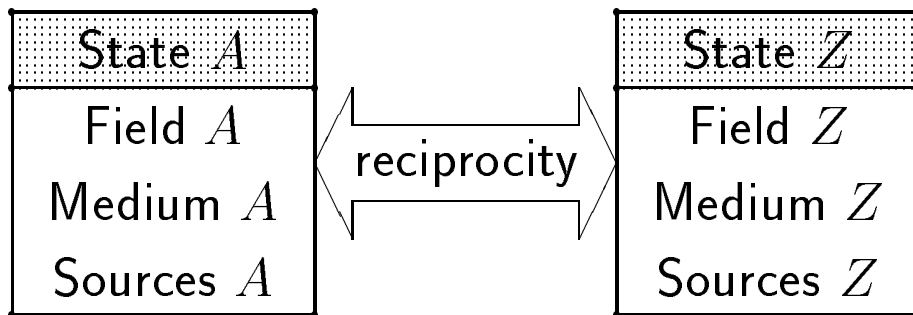
$$\Delta_{k,m,p,q}^+ \nu_m \tau_{p,q} = \text{continuous}$$

$$v_r = \text{continuous}$$

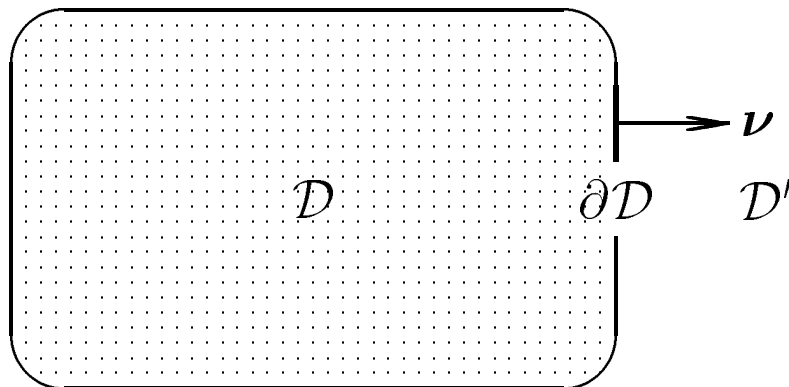
$\nu_m$  = unit vector along normal to interface

- **Compatibility boundary conditions:**

- The two States  $A$  and  $Z$ :



- Domain of application:



- **Elastic wave reciprocity theorem of the time-convolution type:**

$$\begin{aligned}
& \Delta_{m,r,p,q}^+ \int_{\partial\mathcal{D}} \nu_m [-\tau_{p,q}^A \overset{(t)}{*} v_r^Z + \tau_{p,q}^Z \overset{(t)}{*} v_r^A] dA \\
& = \int_{\mathcal{D}} [-\partial_t \Phi_k^A \overset{(t)}{*} v_k^Z + \partial_t \Phi_r^Z \overset{(t)}{*} v_r^A \\
& \quad + \partial_t e_{i,j}^A \overset{(t)}{*} \tau_{i,j}^Z - \partial_t e_{p,q}^Z \overset{(t)}{*} \tau_{p,q}^A] dV \\
& \quad + \int_{\mathcal{D}} [f_k^A \overset{(t)}{*} v_k^Z + h_{i,j}^A \overset{(t)}{*} \tau_{i,j}^Z \\
& \quad - f_r^Z \overset{(t)}{*} v_r^A - h_{p,q}^Z \overset{(t)}{*} \tau_{p,q}^A] dV
\end{aligned}$$

- **The reciprocity relation is a “weak” formulation of the field problem: for the theorem to hold for arbitrary States  $Z$ , State  $A$  must satisfy the field equations**

### *Reciprocity theorem of the time-convolution type*

- **Electromagnetic wave quantities:**

$E_r$  = electric field strength (V/m)

$H_p$  = magnetic field strength (A/m)

$D_k$  = electric flux density (C/m<sup>2</sup>)

$B_j$  = magnetic flux density (T)

- **Electromagnetic source quantities:**

$J_k$  = volume source density of electric  
current (A/m<sup>2</sup>)

$K_j$  = volume source density of magnetic  
current (V/m<sup>2</sup>)

- **Electromagnetic medium parameters for an inhomogeneous, anisotropic medium with relaxation:**

$\kappa_{k,r}^e$  = electric relaxation function (F/m·s)

$\kappa_{j,p}^m$  = magnetic relaxation function (H/m·s)

- **Electromagnetic constitutive relations:**

$$D_k = \kappa_{k,r}^e \overset{(t)}{*} E_r$$

$$B_j = \kappa_{j,p}^m \overset{(t)}{*} H_p$$

where

$$\overset{(t)}{*} = \text{time convolution}$$

- **Electromagnetic constitutive relations for a simple inhomogeneous, anisotropic medium:**

$$\partial_t D_k = \sigma_{k,r} E_r + \epsilon_{k,r} \partial_t E_r$$

$$\partial_t B_j = \chi_{j,p} H_p + \mu_{j,p} \partial_t H_p$$

where

$$\sigma_{k,r} = \text{conductivity (S/m)}$$

$$\epsilon_{k,r} = \text{permittivity (F/m)}$$

$$\chi_{j,p} = \text{linear magnetic hysteresis loss coefficient (H/m}\cdot\text{s)}$$

$$\mu_{j,p} = \text{permeability (H/m)}$$

- **Electromagnetic field equations:**

$$-\epsilon_{k,m,p} \partial_m H_p + \partial_t D_k = -J_k$$

$$\epsilon_{j,n,r} \partial_n E_r + \partial_t B_j = -K_j$$

- **Electromagnetic compatibility relations:**

$$\int_S \nu_k \partial_t D_k dA = - \int_S \nu_k J_k dA$$

$$\int_S \nu_j \partial_t B_j dA = - \int_S \nu_j K_j dA$$

for any closed surface  $\mathcal{S}$  ( $\nu_m =$  unit vector along outward normal to  $\mathcal{S}$ )

- **Levi-Civita tensor:**

$$\epsilon_{k,m,p} = \{+1, -1, 0\} \quad \text{if } \{k, m, p\} \text{ is} \\ \{\text{even, odd, no}\} \text{ permutation of } \{1, 2, 3\}$$

- **Interface boundary conditions:** across any surface of discontinuity in medium properties

$$\epsilon_{k,m,p} \nu_m H_p = \text{continuous}$$

$$\epsilon_{j,n,r} \nu_n E_r = \text{continuous}$$

$\nu_m$  = unit vector along the normal to the interface

- **Compatibility boundary conditions:** across any surface of discontinuity in medium properties and/or volume source distributions

$$\nu_k (\partial_t D_k + J_k) = \text{continuous}$$

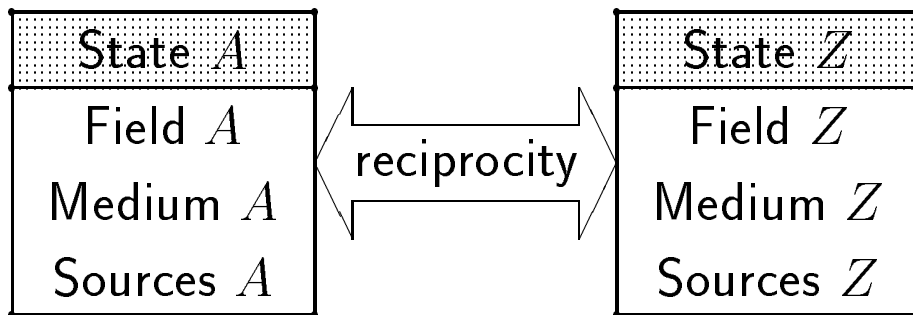
$$\nu_j (\partial_t B_j + K_j) = \text{continuous}$$

$\nu_k$  = unit vector along the normal to the surface of discontinuity

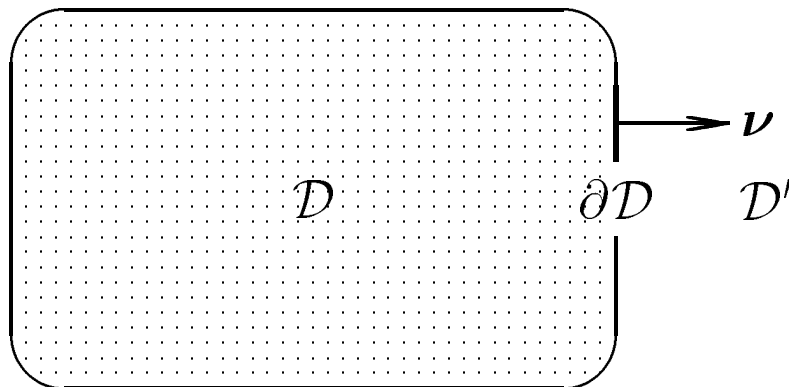
## *Electromagnetic boundary conditions*



- The two States  $A$  and  $Z$ :



- Domain of application:



- **Electromagnetic reciprocity theorem of the time-convolution type:**

$$\begin{aligned}
 & \epsilon_{m,r,p} \int_{\partial \mathcal{D}} \nu_m [E_r^A \overset{(t)}{*} H_p^Z - E_r^Z \overset{(t)}{*} H_p^A] dA \\
 &= \int_{\mathcal{D}} [\partial_t D_k^A \overset{(t)}{*} E_k^Z - \partial_t D_r^Z \overset{(t)}{*} E_r^A \\
 &\quad - \partial_t B_j^A \overset{(t)}{*} H_j^Z + \partial_t B_p^Z \overset{(t)}{*} H_p^A] dV \\
 &+ \int_{\mathcal{D}} [J_k^A \overset{(t)}{*} E_k^Z - K_j^A \overset{(t)}{*} H_j^Z \\
 &\quad - J_r^Z \overset{(t)}{*} E_r^A + K_p^Z \overset{(t)}{*} H_p^A] dV
 \end{aligned}$$

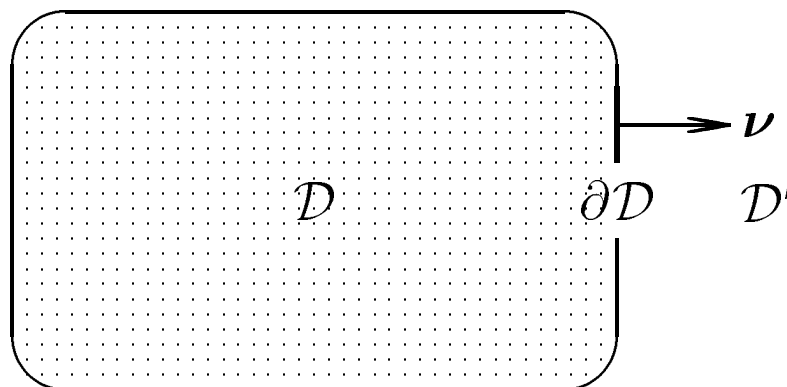
- **The reciprocity relation is a “weak” formulation of the field problem: for the theorem to hold for arbitrary States  $Z$ , State  $A$  must satisfy the field equations**

### *Reciprocity theorem of the time-convolution type*

- **Reciprocity theorem**

$$\begin{aligned}
 & \int_{\partial\mathcal{D}} [\text{surface interaction term}]_m \nu_m dA \\
 &= \int_{\mathcal{D}} [\text{domain interaction terms}] dV; \\
 & [\text{domain interaction terms}] \\
 &= [\text{contrast in media terms}] \\
 & \quad + [\text{source/field interaction terms}]
 \end{aligned}$$

- **Domain of application:**



### *Reciprocity theorem (general structure)*

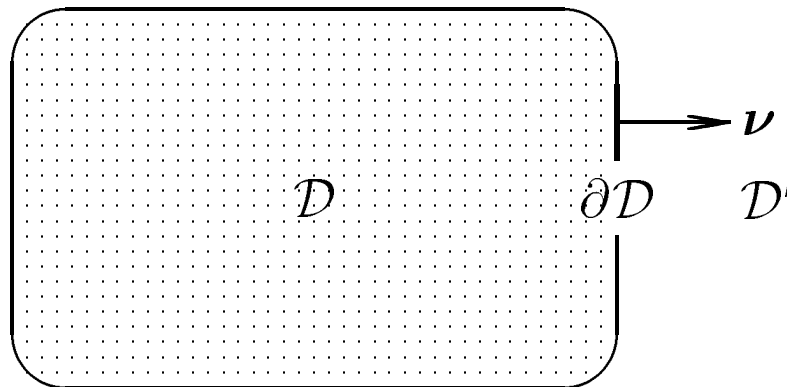
- $\int_{\partial D} [\text{surface interaction term}]_m \nu_m dA = 0$

if

- exterior domain  $D'$  is sourcefree

&

- State  $A$  and State  $Z$  are both causal

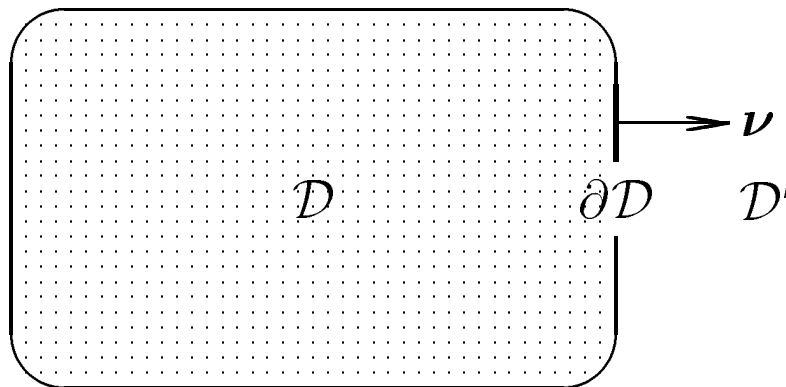


### *Reciprocity theorem (general properties)*

- $\int_{\mathcal{D}} [\text{contrast in media terms}] dV = 0$

**if**

- Medium  $A/Z = \text{Adjoint}(\text{Medium } Z/A)$   
in  $\mathcal{D}$

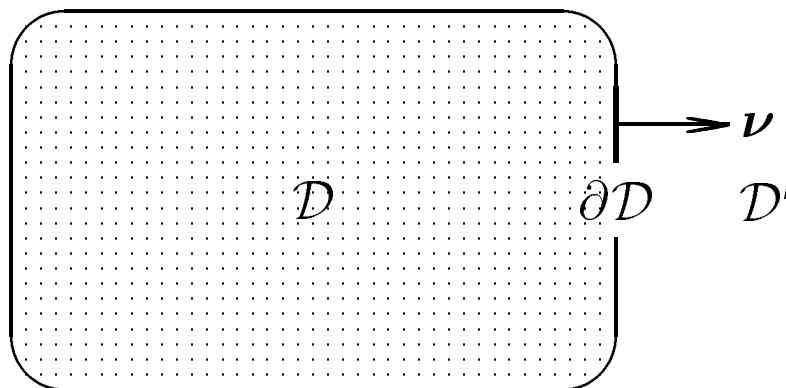


### *Reciprocity theorem (general properties)*

- $\int_{\mathcal{D}} [\text{source/field interaction terms}] dV = 0$

if

- $\mathcal{D}$  is sourcefree



### *Reciprocity theorem (general properties)*

Fluid



- **Volume injection transducer (monopole transducer):**

$$q \neq 0 ; f_k = 0$$

*Acoustic transducer (monopole transducer)*

Fluid

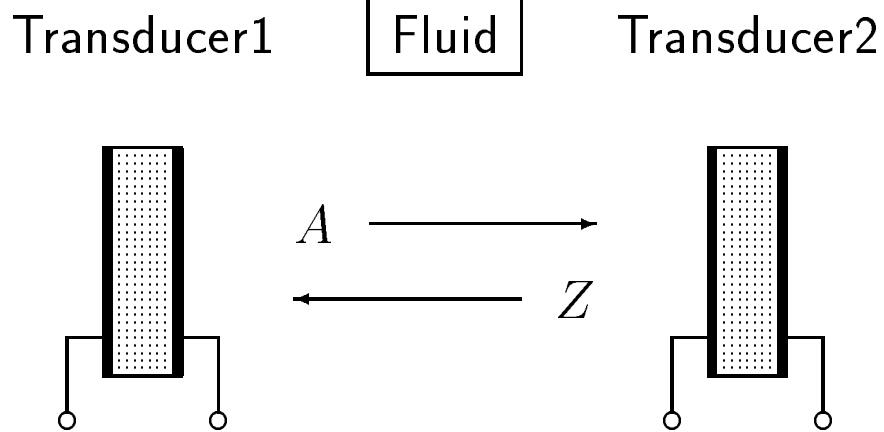


- **Force action transducer (dipole transducer):**

$$q = 0 ; f_k \neq 0$$

*Acoustic transducer (dipole transducer)*





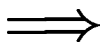
|             | State $A$    | State $Z$    |
|-------------|--------------|--------------|
| Transducer1 | Transmitting | Receiving    |
| Transducer2 | Receiving    | Transmitting |

### *Transmission/Reception reciprocity (acoustic waves)*

**Transmission/reception reciprocity  
(volume action transducers):**

$$\int_{\text{Transducer1}} [f_k^A \overset{(t)}{*} v_k^Z - q^A \overset{(t)}{*} p^Z] dV$$

$$= \int_{\text{Transducer2}} [f_r^Z \overset{(t)}{*} v_r^A - q^Z \overset{(t)}{*} p^A] dV$$



- **Monopole transducer (volume injection transducer;  $q \neq 0$ ;  $f_k = 0$ ) is sensitive to acoustic pressure  $p$**
- **Dipole transducer (force action transducer;  $q = 0$ ;  $f_k \neq 0$ ) is sensitive to particle velocity  $v_r$**

*Transmission/Reception reciprocity (acoustic waves)*

Solid

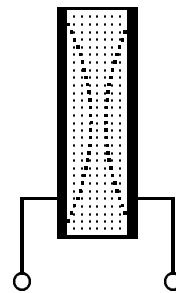
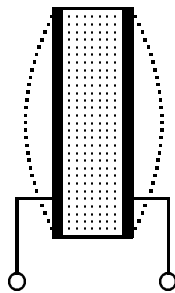


- **Force action transducer:**

$$f_k \neq 0 ; h_{i,j} = 0$$

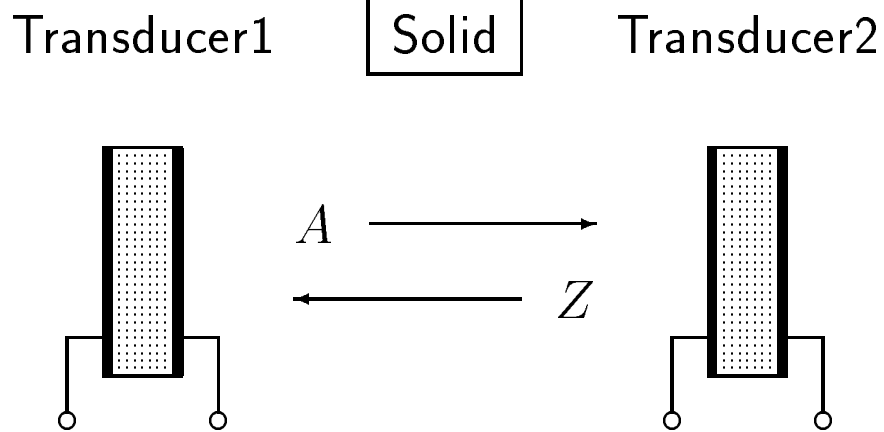
### *Elastodynamic force action transducer*

Solid



- **Deformation transducer:**

$$f_k = 0 ; h_{i,j} \neq 0$$



|             | State $A$    | State $Z$    |
|-------------|--------------|--------------|
| Transducer1 | Transmitting | Receiving    |
| Transducer2 | Receiving    | Transmitting |

### *Transmission/Reception reciprocity (elastic waves)*

**Transmission/reception reciprocity  
(volume action transducers):**

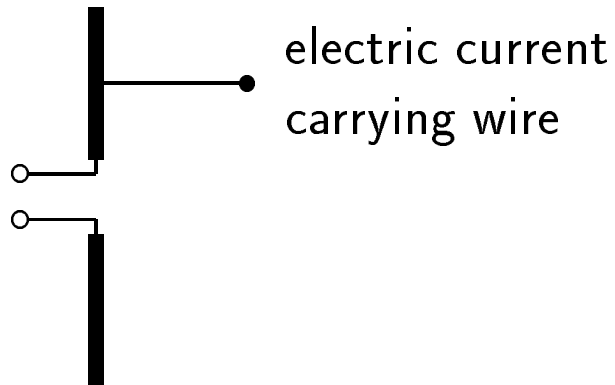
$$\int_{\text{Transducer1}} [f_k^A \overset{(t)}{*} v_k^Z + h_{i,j}^A \overset{(t)}{*} \tau_{i,j}^Z] dV$$

$$= \int_{\text{Transducer2}} [f_r^Z \overset{(t)}{*} v_r^A + h_{p,q}^Z \overset{(t)}{*} \tau_{p,q}^A] dV$$

$\implies$

- **Force action transducer** ( $f_k \neq 0; h_{i,j} = 0$ )  
is sensitive to particle velocity  $v_r$
- **Deformation transducer** ( $f_k = 0; h_{i,j} \neq 0$ )  
is sensitive to dynamic stress  $\tau_{p,q}$

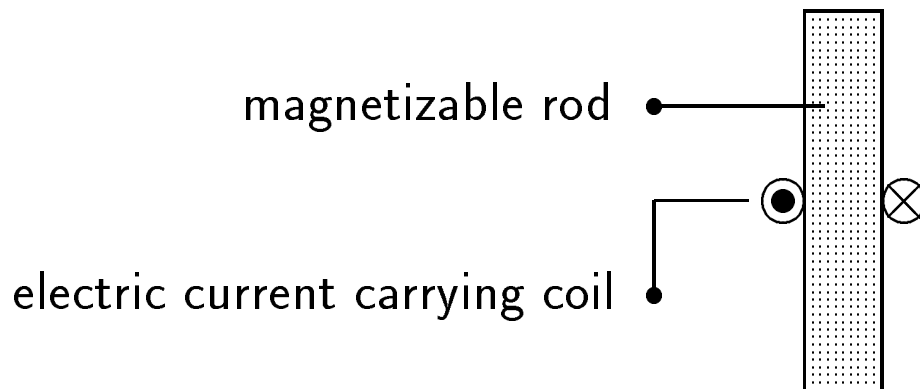
*Transmission/Reception reciprocity (elastic waves)*



- **Electric dipole antenna:**

$$J_k \neq 0 ; K_j = 0$$

## *Electric dipole antenna*



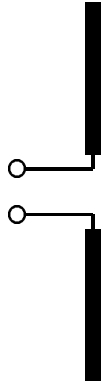
- **Magnetic dipole antenna:**

$$J_k = 0 ; K_j \neq 0$$

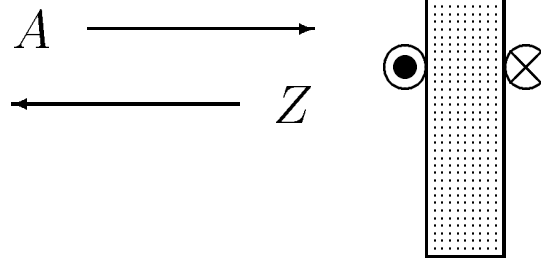
## *Magnetic dipole antenna*



Antenna1



Antenna2



|          | State $A$    | State $Z$    |
|----------|--------------|--------------|
| Antenna1 | Transmitting | Receiving    |
| Antenna2 | Receiving    | Transmitting |

## Electromagnetic antenna reciprocity

**Transmission/reception reciprocity (volume action antennas):**

$$\int_{\text{Antenna1}} [J_k^A \overset{(t)}{*} E_k^Z - K_j^A \overset{(t)}{*} H_j^Z] dV$$

$$= \int_{\text{Antenna2}} [J_r^Z \overset{(t)}{*} E_r^A - K_p^Z \overset{(t)}{*} H_p^A] dV$$

$\implies$

- **Electric dipole antenna** ( $J_k \neq 0; K_j = 0$ )  
is sensitive to electric field strength  $E_r$
- **Magnetic dipole antenna** ( $J_k = 0; K_j \neq 0$ )  
is sensitive to magnetic field strength  $H_p$

*Electromagnetic antenna reciprocity*

**Transmission/reception reciprocity** describes quantitatively

- the **interaction** of an observer's **measuring apparatus ('receiver')** with an **interrogated wavefield**
- the type of **data** the observer can **extract from the wavefield**

This interaction is FUNDAMENTAL to the entire field of macroscopic physics

**Reciprocity** serves to formulate

- **Direct (forward) source problems**
- **Inverse source problems**
- **Direct (forward) scattering problems**
- **Inverse scattering problems**

in mathematical physics in a unifying manner

**Reciprocity** leads, when supplemented with

- **superposition principle**
- **error minimization criterion**

to

- **computational algorithms of an iterative nature**

with

- **guaranteed decrease in error at each iteration step**

in problems of computational physics

**Wavefield problems:**

|   | Sources                 | Medium                  | Field                            |
|---|-------------------------|-------------------------|----------------------------------|
| Direct source problem                   | known                   | known                   | ?                                |
| Direct scattering problem <sup>1</sup>  | known                   | known                   | ?                                |
| Inverse source problem                  | ?<br>in $\mathcal{D}^T$ | known                   | known<br>in $\mathcal{D}^\Omega$ |
| Inverse scattering problem <sup>1</sup> | known                   | ?<br>in $\mathcal{D}^S$ | known<br>in $\mathcal{D}^\Omega$ |

<sup>1</sup>employs superposition and embedding technique

*Wavefield problem categories*

**Direct source problem (computational steps):**

- **State  $A \implies$  discretization (expansion) of the actual field (Field  $A$ ) to be computed**
- **State  $Z \implies$  sequence of computational states with Field  $Z$  of local (elemental) support and corresponding Sources  $Z$**
- **Reciprocity theorem  $\implies$  Finite-element formulation**

*Direct source problem (computation)*

**Direct scattering problem (computational steps):**

- **Superposition principle  $\implies$  Total field = Incident field + Scattered field**
- **Incident field: Field due to (known) sources in known embedding**
- **State  $A \implies$  discretization (expansion) of the scattered field to be computed**
- **State  $Z \implies$  Computational state (different choices)**



## Direct scattering problem (computational steps) (continued):

- State  $Z \implies$  sequence of computational states with Field  $Z$  of local (elemental) support and corresponding Sources  $Z$
- Reciprocity theorem  $\implies$  Finite-element formulation

## Direct scattering problem (computational steps) (continued):

- State  $Z \implies$  sequence of computational states with Source  $Z$  of local (elemental) support and corresponding Field  $Z$  radiated in embedding
- Reciprocity theorem  $\implies$  Integral equation formulation

## Inverse source problem (computational steps):

- State  $A \implies$  actual wavefield radiated due to sources with assumed support  $\mathcal{D}^T$  and observed in  $\mathcal{D}^\Omega$
- State  $Z \implies$  sequence of computational states with Sources  $Z$  with (subdomain of)  $\mathcal{D}^\Omega$  as support and corresponding Fields  $Z$  radiated in embedding
- Error minimization + Reciprocity theorem  $\implies$  minimum norm solution

## Inverse source problem (computational procedure):

- The **sequence**  $\{\text{Sources } Z\}$  and the **choice of the error criterion** determine the **inversion algorithm** and the resulting minimum norm solution

N.B. The inverse source problem has no unique solution (because of the existence of 'non-radiating sources' with support  $\mathcal{D}^T$  whose wave-field has also the support  $\mathcal{D}^T$ )

## Inverse scattering problem (computational steps):

- State  $A \implies$  actual scattered wavefield due to contrast sources with assumed support  $\mathcal{D}^s$  and observed in  $\mathcal{D}^\Omega$
- State  $Z \implies$  sequence of computational states with Sources  $Z$  with (sub-domain of)  $\mathcal{D}^\Omega$  as support and corresponding Fields  $Z$  radiated in embedding

## **Inverse scattering problem (computational steps):**

- **Simultaneous error minimization on (Linearized) Reciprocity theorem + Constitutive relation  $\implies$  Iterative procedure leading to a minimum norm solution**
- **The sequence {Sources  $Z$ } and the choice of the error criterion determine the inversion algorithm and the resulting minimum norm solution**

## **Inverse scattering problem (computational steps):**

N.B. Due to the condition that the constitutive parameters to be reconstructed are to be independent of the interrogating source distributions (of the incident wavefield), the inverse scattering problem can have a unique solution.

**Operator equation to be “solved”:**

- $Lu \simeq q$

**Inner product:**

- $\langle v, u \rangle$  with

- $\langle v, u \rangle = \langle u, v \rangle^*$

- $\langle v, Lu \rangle = \langle L^H v, u \rangle$

( $L^H$  = **Hermitean conjugate** of  $L$ )

**Associated norm:**

- $\|u\| = \langle u, u \rangle^{1/2}$

**Residual:**

- $r = q - Lu$

*Operator equation, inner product, norm, residual*



**Iterative solution procedure:**

- $u^{[n+1]} = u^{[n]} + \delta u^{[n]}$  for  $n = 0, 1, 2, \dots$

**Residual after  $n$  steps:**

- $r^{[n]} = q - \mathbf{L}u^{[n]}$  for  $n = 0, 1, 2, \dots$   
 $\implies r^{[n+1]} = r^{[n]} - \mathbf{L}\delta u^{[n]}$

**“Improvement condition”:**

- $\|r^{[n]}\|^2 > \|r^{[n+1]}\|^2$  for  $n = 0, 1, 2, \dots$

**Sufficient condition for improvement:**

- $\langle r^{[n+1]}, \mathbf{L}\delta u^{[n]} \rangle + \langle \mathbf{L}\delta u^{[n]}, r^{[n+1]} \rangle = 0$

which is satisfied by\*

- $\delta u^{[n]} = \alpha^{[n]} \mathbf{L}^H r^{[n]}$  with  $\alpha^{[n]} = \frac{\|\mathbf{L}^H r^{[n]}\|^2}{\|\mathbf{L}\mathbf{L}^H r^{[n]}\|^2}$

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\*More sophisticated choices for  $\delta u^{[n]}$  exist

*Iterative solution procedure, “improvement condition”*

Owing to its generality, the

- property of **reciprocity**

has

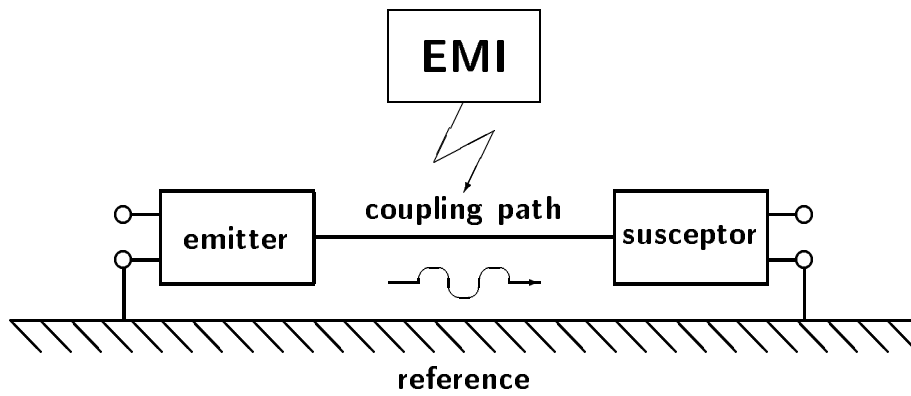
- **multitudinous applications**

in

- **a variety of fields in the applied sciences**

Some are mentioned on subsequent viewgraphs

- **Transmission/reception reciprocity** serves as a **necessity check** of the **consistency** and **accuracy** of any **numerical algorithm** for **acoustic, elastic and electromagnetic wavefield computation**
- **Transmission/reception reciprocity** is the **basic tool** for the **ElectroMagnetic Interference (EMI) analysis** in the **ElectroMagnetic Compatibility** of **electric and electronic equipment, devices and systems**



ElectroMagnetic Interference problem with emitter, susceptor and coupling path.

## *ElectroMagnetic Interference (EMI) configuration*

Through **inverse source problems**, reciprocity lies at the basis of the analysis of **acoustic emission processes** such as

- **Detection of interior crack formation in mechanical structures**
- **Monitoring of the rock fracturing process for the enhancement of production in oil wells**

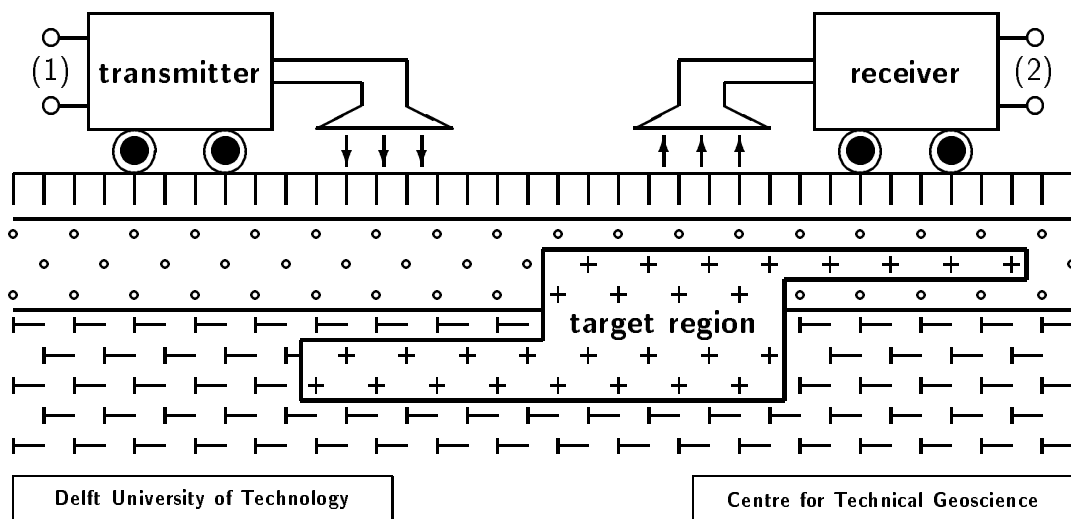
Through **inverse scattering problems**, reciprocity lies at the basis of

- **Ultrasonic imaging for medical diagnostics**
- **X-ray tomography**
- **Imaging of the subsurface of the Earth in the seismic exploration for fossil energy resources**
- **Borehole electric and electromagnetic profiling of subsurface structures**
- **Cross-borehole seismic and electromagnetic evaluation of oil and gas reservoirs**

**Inverse scattering applications of reciprocity** (continued):

- **Ground Penetrating Radar systems for shallow imaging of underground cables, pipelines and archeological artifacts**
- **Remote sensing of weeds under agricultural vegetation**
- **Determination of permittivity profiles in graded-index glass fibers for optical communication**

## GPR system



Ground Penetrating Radar system with transmitting antenna, receiving antenna and target region in a layered soil

## Ground Penetrating Radar system



A derivation of the reciprocity theorems and more details about their applications can be found in:

- De Hoop, A. T., *Handbook of Radiation and Scattering of Waves*, London • New York, Academic Press, 1085 + xxx pp., Chapters 7, 15 and 28.